# **214A HOMEWORK**

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**1.1** Let A = k[[T]] be the ring of formal power series with coefficients in a field k. Determine SpecA.

*Proof.* We begin with a claim that  $A^{\times} = \{\sum a_i T^i \in A : a_i \in k, \text{ and } a_0 \in k^{\times}\}$ . Let  $\sum a_i T^i \in A^{\times}$ , then there exists  $\sum b_i T^i \in A$  such that  $(\sum a_i T^i)(\sum b_i T^i) = 1$ . The constant term in both side should agree, so we have  $a_0b_0 = 1$ , giving that  $a_0 \in k^{\times}$ . Conversely, let  $a_0 \in k^{\times}$ . By multiplying  $a_0^{-1}$ , we can assume that  $a_0 = 1$ . Let  $g = -\sum_{i\geq 1} a_i T^i$ , then we have  $\sum a_i T^i = 1 - g$ . The formal power series for  $\sum_{i\geq 0} g^i$  is the desired inverse for  $\sum a_i T^i$ . Thus, our claim is proved.

Let  $P \subset A$  be a nonzero prime ideal in A. Denote the number  $min\{n \in \mathbb{N} : T^n \in P\}$  by n(P). By our previous claim, we have  $n(P) \geq 1$ , and we also have  $T^{n(P)} \in P$ .  $n(P) \geq 2$  cannot happen because P is a prime ideal. Thus, we must have n(P) = 1, i.e.  $T \in P$ . It follows that P = (T). Hence  $\operatorname{Spec} A = \{(0), (T)\}$ .  $\Box$ 

**1.2** Let  $\varphi : A \longrightarrow B$  be a homomorphism of finitely generated algebras over a field k. Show that the image of a closed point under Spec $\varphi$  is a closed point.

Proof. Let  $\mathfrak{m}$  be a maximal ideal in B, it suffices to show that  $\operatorname{Spec}\varphi(\mathfrak{m}) = \varphi^{-1}(\mathfrak{m})$ is a maximal ideal in A. By 1st isomorphism theorem,  $\varphi$  induces an embedding  $A/\varphi^{-1}(\mathfrak{m}) \hookrightarrow B/\mathfrak{m}$ . Then Weak Nullstellensatz shows that  $B/\mathfrak{m}$  is a finite field extension of k. It follows that  $A/\varphi^{-1}(\mathfrak{m})$  is an integral domain which is finite dimensional k-vector space. For any  $a \in (A/\varphi^{-1}(\mathfrak{m})) \setminus \{0\}$ , the set  $\{1, a, a^2, \cdots\}$  is linearly dependent over k. Thus, a satisfies a polynomial equation  $\sum_{i \leq N} c_i a^i = 0$  with  $c_i \in k$  and  $c_0 \neq 0$ . This implies that  $a \sum_{1 \geq i \geq N} c_i a^{i-1} = -c_0 \in k^{\times}$ . Hence a is invertible in  $A/\varphi^{-1}(\mathfrak{m})$ , giving that  $A/\varphi^{-1}(\mathfrak{m})$  is a field.  $\Box$ 

**1.3** Let  $k = \mathbb{R}$  be the field of real numbers. Let  $A = k[X, Y]/(X^2 + Y^2 + 1)$ . We wish to describe SpecA. Let x, y be the respective images of X, Y in A.

(a) Let  $\mathfrak{m}$  be a maximal ideal of A. Show that there exist  $a, b, c, d \in k$  such that  $x^2 + ax + b, y^2 + cy + d \in \mathfrak{m}$ . Using the relation  $x^2 + y^2 + 1 = 0$ , show that  $\mathfrak{m}$  contains an element  $f = \alpha x + \beta y + \gamma$  with  $(\alpha, \beta) \neq (0, 0)$ . Deduce from this that  $\mathfrak{m} = fA$ .

*Proof.* Note that  $A/\mathfrak{m} = \mathbb{C}$ . This is because it is a finite extension of k by Weak Nullstellensatz, and it contain x, y with  $x^2 + y^2 + 1 = 0$ . Thus, it is clear that x, y satisfy some quadratic equation over k. We add up those quadratic equations to obtain

$$ax + cy + b + d - 1 = 0 \in A/\mathfrak{m}.$$

(Case1)  $(a, c) \neq (0, 0)$ : We may take  $f = ax + cy + b + d - 1 \in \mathfrak{m}$ .

(Case2) a = c = 0, and hence b+d = 1: Either  $x, y \in A/\mathfrak{m}$  are both pure imaginary, or one of them is real. Note that x, y are not both 0. Thus, we can find  $\alpha, \beta, \gamma$  such that  $\alpha x + \beta y + \gamma = 0 \in A/\mathfrak{m}$ .

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We now consider A/fA, assume that  $f = \alpha x + \beta y + \gamma$  with  $\alpha \neq 0$ . Then A/fA becomes

$$k[X,Y]/(X^2 + Y^2 + 1, f) = k[X]/(X^2 + ((-\beta/\alpha)x - \gamma/\alpha)^2 + 1) = \mathbb{C}.$$

Therefore, fA is a maximal ideal in A, and combined with  $fA \subset \mathfrak{m}$ , we have  $\mathfrak{m} = fA$ .

(b) Show that the map  $(\alpha, \beta, \gamma) \mapsto (\alpha x + \beta y + \gamma)A$  establishes a bijection between the subset  $\mathbb{P}(k^3) \setminus \{(0, 0, 1)\}$  of the projective space  $\mathbb{P}(k^3)$  and the set of maximal ideals of A.

*Proof.* The same argument that we showed  $\mathfrak{m} = fA$  in part (a), shows that the map is well-defined. Moreover, part (a) itself shows surjectivity. Suppose that two distinct members  $(\alpha, \beta, \gamma)$  and  $(\alpha', \beta', \gamma')$  in  $\mathbb{P}(k^3) \setminus \{(0, 0, 1)\}$  map to the same maximal ideal  $\mathfrak{m}$  in A. We may consider the following cases without loss of generality, (Case1)  $\alpha = \alpha' = 1, \beta = \beta', \gamma \neq \gamma'$ : This gives a contradiction, since  $\gamma - \gamma' \in k^{\times} \cap \mathfrak{m}$  cannot hold.

(Case2)  $\alpha = \alpha' = 1, \beta \neq \beta'$ : By subtraction, we obtain a real number  $y_0$  such that  $y_0 \in A/\mathfrak{m}$ . Plugging in  $y = y_0$ , we obtain a real number  $x_0$  such that  $x_0 \in A/\mathfrak{m}$ . Furthermore,  $x_0^2 + y_0^2 + 1 = 0$  must hold, which is a contradiction.

(Case3)  $\alpha = 1, \beta = 0, \alpha' = 0, \beta' = 1$ : This also give two real numbers  $x_0, y_0 \in A/\mathfrak{m}$  with  $x_0^2 + y_0^2 + 1 = 0$ , which is a contradiction. Hence, the map is injective.  $\Box$ 

(c) Let  $\mathfrak{p}$  be a non-maximal prime ideal of A. Show that the canonical homomorphism  $k[X] \longrightarrow A$  is finite and injective. Deduce from this that  $\mathfrak{p} \cap k[X] = 0$ . Let  $g \in \mathfrak{p}$ , and let  $g^n + a_{n-1}g^{n-1} + \cdots + a_0 = 0$  be an integral equation with  $a_i \in k[X]$ . Show that  $a_0 = 0$ . Conclude that  $\mathfrak{p} = 0$ .

*Proof.* The homomorphism  $k[X] \longrightarrow A$  is injective, since its kernel is  $k[X] \cap (X^2 + Y^2 + 1) = 0$ . It is clear that A is an integral extension of k[X]. It is also true that  $A/\mathfrak{p}$  is an integral extension of  $k[X]/\mathfrak{p} \cap k[X]$ . They are both integral domains. Since  $A/\mathfrak{p}$  is not a field,  $k[X]/\mathfrak{p} \cap k[X]$  is not a field. In k[X], only non-maximal prime ideal is (0). Thus,  $\mathfrak{p} \cap k[X] = 0$ .

Suppose  $\mathfrak{p}$  contains a nonzero member g. Choose the integral equation for g with minimal degree n. From the integral equation, we have  $a_0 \in \mathfrak{p} \cap k[X]$ . Thus  $a_0 = 0$ . Dividing g gives another integral equation for g with degree n-1. This contradicts minimality of n. Hence,  $\mathfrak{p}$  must be 0.

**1.8** Let  $\varphi : A \longrightarrow B$  be an integral homomorphism.

(a) Show that  $\operatorname{Spec}\varphi : \operatorname{Spec}B \longrightarrow \operatorname{Spec}A$  maps a closed point to a closed point, and that any preimage of a closed point is a closed point.

*Proof.* We use a lemma:  $A \subset B$  is integral extension, A and B are integral domains. Then A is a field if and only if B is a field.

Let  $\mathfrak{p} \subset B$  be a prime ideal. Then  $\varphi$  induces an embedding  $A/\varphi^{-1}(\mathfrak{p}) \hookrightarrow B/\mathfrak{p}$ . Since  $A/\varphi^{-1}(\mathfrak{p})$  and  $B/\mathfrak{p}$  are both integral domains, the lemma applies. Thus,  $A/\varphi^{-1}(\mathfrak{p})$  is a field if and only if  $B/\mathfrak{p}$  is a field. Equivalently,  $\varphi^{-1}(\mathfrak{p})$  is a closed point(i.e. maximal ideal) if and only if  $\mathfrak{p}$  is a closed point.

(b) Let  $\mathfrak{p} \in \operatorname{Spec} A$ . Show that the canonical homomorphism  $A_{\mathfrak{p}} \longrightarrow B \otimes_A A_{\mathfrak{p}}$  is integral.

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*Proof.* It is enough to show that any simple tensor  $b \otimes_A a$  is integral over  $A_{\mathfrak{p}}$ . Consider an integral equation for b, namely  $\sum_{i \leq n} a_i b^i = 0$  with  $a_i \in A$ ,  $a_0 \neq 0$ , and  $a_n = 1$ . Then clearly,  $(\sum_{i \leq n} a_i b^i) \otimes_A a = 0$ . This implies  $\sum_{i \leq n} a_i (b \otimes_A a)^i = 0$ . Hence  $b \otimes_A a$  is integral over  $A_{\mathfrak{p}}$ .

(c) Let  $T = \varphi(A \mid \mathfrak{p})$ . Let us suppose that  $\varphi$  is injective. Show that T is a multiplicative subset of B, and that  $B \otimes_A A_{\mathfrak{p}} = T^{-1}B \neq 0$ . Deduce from this that Spec $\varphi$  is surjective if  $\varphi$  is integral and injective.

*Proof.* Let  $\bar{\varphi}$  be the canonical homomorphism in part (b). Note that if  $\mathfrak{q}' \in \operatorname{Max} T^{-1}B$ , then  $\bar{\varphi}^{-1}(\mathfrak{q}')$  is a maximal ideal in  $A_{\mathfrak{p}}$ . In fact,  $\bar{\varphi}^{-1}(\mathfrak{q}') = \mathfrak{p}A_{\mathfrak{p}}$ , since  $A_{\mathfrak{p}}$  is a local ring. Consider the diagram,



Apply Spec(-) to this diagram,



By commutativity, we have

$$\operatorname{Spec}\epsilon\circ\operatorname{Spec}\bar{\varphi}(\mathfrak{q}')=\operatorname{Spec}\varphi\circ\operatorname{Spec}\epsilon(\mathfrak{q}')=\mathfrak{p}$$

Hence,  $\operatorname{Spec}\varphi$  is surjective.

**1.9** Let A be a finitely generated algebra over a field k.

(a) Let us suppose that A is finite over k. Show that SpecA is a finite set, of cardinality bounded from above by the dimension  $\dim_k A$  of A as a vector space. Show that every prime ideal of A is maximal.

*Proof.* Suppose that we could find  $r = \dim_k A+1$  distinct maximal ideals  $\mathfrak{m}_1, \cdots, \mathfrak{m}_r$ . Then,  $\mathfrak{m}_i + \mathfrak{m}_j = A$  for  $i \neq j$ . We can construct a strictly descending chain of ideals  $\mathfrak{m}_1 \supseteq \mathfrak{m}_1 \mathfrak{m}_2 \supseteq \cdots \supseteq \mathfrak{m}_1 \mathfrak{m}_2 \cdots \mathfrak{m}_r$ . This makes a strictly increasing chain of k-vector spaces  $A/\mathfrak{m}_1 \subsetneq A/\mathfrak{m}_1 \mathfrak{m}_2 \subsetneq \cdots \subsetneq A/\mathfrak{m}_1 \mathfrak{m}_2 \cdots \mathfrak{m}_r$ . However, this implies that  $r \leq \dim_k A/\mathfrak{m}_1 \mathfrak{m}_2 \cdots \mathfrak{m}_r \leq \dim_k A = r - 1$ , which leads to a contradiction. Hence, the number of distinct maximal ideals in A is bounded above by  $\dim_k A$ .

Now, we show that every prime ideal  $\mathfrak{p}$  in A is indeed maximal.  $A/\mathfrak{p}$  is an integral domain which is finite dimensional k-vector space. For any  $a \in (A/\mathfrak{p}) \setminus \{0\}$ , the set  $\{1, a, a^2, \cdots\}$  is linearly dependent over k. Thus, a satisfies a polynomial equation  $\sum_{i \leq N} c_i a^i = 0$  with  $c_i \in k$  and  $c_0 \neq 0$  (This is possible, since  $A/\mathfrak{p}$  is an integral domain). This implies that  $a \sum_{1 \geq i \geq N} c_i a^{i-1} = -c_0 \in k^{\times}$ . Hence a is invertible in  $A/\mathfrak{p}$ , giving that  $A/\mathfrak{p}$  is a field.

(b) Show that 
$$\text{Spec}k[T_1, \cdots, T_d]$$
 is infinite if  $d \ge 1$ .

*Proof.* We begin with proving that Speck[T] is infinite. If k is infinite, then (T-a) is maximal ideal for any  $a \in k$ , thus Speck[T] is infinite. If k is finite, still we have  $\bar{k}$  is infinite. This implies that there are infinitely many irreducible polynomials in k[T], thus Speck[T] is infinite in this case too.

For the case  $d \geq 2$ , we define an injective function given by

$$\operatorname{Spec} k[T_1] \longrightarrow \operatorname{Spec} k[T_1, \cdots, T_d]$$
$$(p(T_1)) \mapsto (p(T_1), T_2, \cdots, T_d)$$

Hence,  $\operatorname{Spec} k[T_1, \cdots, T_d]$  is infinite if  $d \geq 1$ .

(c) Show that  $\operatorname{Spec} A$  is finite if and only if A is finite over k.

*Proof.* ( $\Leftarrow$ ) This is done in part (a).

(⇒) Suppose that A is infinite over k. Then A is transcendental over k. Noether Normalization Theorem applies to obtain  $T_1, \dots, T_d$  which are algebraically independent over k, and an integral extension  $k[T_1, \dots, T_d] \subset k[T_1, \dots, T_d, t_{d+1}, \dots, t_r] = A$ . Similarly as in (b), we can form an injective map

$$\operatorname{Speck}[T_1, \cdots, T_d] \longrightarrow \operatorname{Speck}[T_1, \cdots, T_d, t_{d+1}, \cdots, t_r]$$
$$P \mapsto P + (t_{d+1}, \cdots, t_r).$$

By part (b), it follows that SpecA is infinite.

**2.2** Let  $\mathcal{F}$  be a sheaf on X. Let  $s, t \in \mathcal{F}(X)$ . Show that the set of  $x \in X$  such that  $s_x = t_x$  is open in X.

*Proof.* Let  $x \in X$ , and  $s_x = t_x$ . Then there exists an open neighborhood  $U_x$  of x such that  $s|_{U_x} = t|_{U_x}$ . For any  $y \in U_x$ , consider the natural map  $\mathcal{F}(X) \longrightarrow \mathcal{F}_y$ . Since this natural map is given by  $a \in \mathcal{F}(X) \mapsto [X, a]$  where [X, a] denotes the equivalence class represented by (X, a). But,  $s|_{U_x} = t|_{U_x}$  implies that  $s_y = [X, s] = [U_x, s|_{U_x}] = [U_x, t|_{U_x}] = [X, t] = t_y$ . Hence, the set of  $x \in X$  such that  $s_x = t_x$  is open in X.

**2.7** Let  $\mathfrak{B}$  be a base of open subsets on a topological space X. Let  $\mathcal{F}, \mathcal{G}$  be two sheaves on X. Suppose that for every  $u \in \mathfrak{B}$  there exists a homomorphism  $\alpha(U) : \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$  which is compatible with restrictions. Show that this extends in a unique way to a homomorphism of sheaves  $\alpha : \mathcal{F} \longrightarrow \mathcal{G}$ . Show that if  $\alpha(U)$  is surjective(resp. injective) for every  $U \in \mathfrak{B}$ , then  $\alpha$  is surjective (resp. injective).

*Proof.* Note that every open set  $U \subset X$  is a union of members in  $\mathfrak{B}$ , say  $U = \bigcup_i U_i$ , where  $U_i \in \mathfrak{B}$ . Let  $\beta_i \in \mathcal{F}(U_i)$ , and  $\beta_j \in \mathcal{F}(U_j)$ , and  $\beta_i|_{U_i \cap U_j} = \beta_j|_{U_i \cap U_j}$  for all i, j, which data uniquely determine  $\beta \in \mathcal{F}(U)(\because \mathcal{F}$  is a sheaf). We know that  $g_i = \alpha(U_i)(\beta_i) \in \mathcal{G}(U_i), g_j = \alpha(U_j)(\beta_j) \in \mathcal{G}(U_j)$ , and  $\alpha(U_i \cap U_j)(\beta_i|_{U_i \cap U_j}) = \alpha(U_i \cap U_j)(\beta_j|_{U_i \cap U_j}) \in \mathcal{G}(U_i \cap U_j)$ . Since the homomorphism  $\alpha$  is compatible with restrictions on members of  $\mathfrak{B}$ , we have

$$g_i|_{U_i\cap U_j} = \alpha(U_i\cap U_j)(\beta_i|_{U_i\cap U_j}) = \alpha(U_i\cap U_j)(\beta_j|_{U_i\cap U_j}) = g_j|_{U_i\cap U_j}.$$

Thus, this data uniquely determine  $g \in \mathcal{G}(U)(::\mathcal{G} \text{ is a sheaf})$ . Define  $\alpha(U)(\beta) = g$ , then this is the unique extension to a homomorphism of sheaves  $\alpha : \mathcal{F} \longrightarrow \mathcal{G}$ .

We use the fact that sequence of sheaves  $\mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H}$  is exact if and only if  $\mathcal{F}_x \longrightarrow \mathcal{G}_x \longrightarrow \mathcal{H}_x$  is exact for each  $x \in X$ . In fact,  $\alpha(U)$  is surjective(resp. injective) for every  $U \in \mathfrak{B}$  imply  $\mathcal{F}_x \longrightarrow \mathcal{G}_x \longrightarrow \mathfrak{O}(\text{resp. } 0 \longrightarrow \mathcal{F}_x \longrightarrow \mathcal{G}_x)$  is exact

for each  $x \in X$ . This in turn, implies that  $\mathcal{F} \longrightarrow \mathcal{G} \longrightarrow 0$  (resp.  $0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G}$ ) is an exact sequence in sheaves. Hence  $\alpha$  is surjective(resp. injective).

**2.11** Let X, Y be two ringed topological spaces. We suppose given an open covering  $\{U_i\}_i$  of X and morphisms  $f_i : U_i \longrightarrow Y$  such that  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$  (as morphisms) for every i, j. Show that there exists a unique morphism  $f : X \longrightarrow Y$  such that  $f|_{U_i} = f_i$ . This is the glueing of the morphisms  $f_i$ .

*Proof.* We define  $f : X \longrightarrow Y$  by  $f(x) = f_i(x)$  where  $x \in U_i$  for some *i*. The glueing data  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$  gives that  $f : X \longrightarrow Y$  is a continuous function. Moreover, continuous function with this property has to be unique.

The morphism of sheaves  $f_i^{\#} : \mathcal{O}_Y \longrightarrow f_{i*}\mathcal{O}_{U_i}$  gives the ring homomorphism  $f_i^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_{U_i}(f_i^{-1}(V)) = \mathcal{O}_X(f^{-1}(V) \cap U_i)$ . The glueing data gives that  $f_i|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_i \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_X(f^{-1}(V) \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_Y(V) \cap U_j \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_Y(V) \cap U_j \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \longrightarrow \mathcal{O}_Y(V) \cap U_j \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) : \mathcal{O}_Y(V) \cap U_j \cap U_j \cap U_j \cap U_j) = f_j|_{U_i \cap U_j}^{\#}(V) \cap U_j \cap U_j \cap$ 

Together with the compatibility of restriction,  $\alpha \in \mathcal{O}_Y(V) \mapsto a_i \in \mathcal{O}_X(f^{-1}(V) \cap U_i)$ has the glueing data  $a_i|_{U_i \cap U_j} = a_j|_{U_i \cap U_j}$ . Since  $\mathcal{O}_X(f^{-1}(V) \cap -)$  is a sheaf, we obtain a unique  $a \in \mathcal{O}_X(f^{-1}(V))$  such that  $a|_{U_i} = a_i$  for each *i*. Define  $f^{\#} : \mathcal{O}_Y \longrightarrow$  $f_*\mathcal{O}_X$  by  $\alpha \in \mathcal{O}_Y(V) \mapsto a \in \mathcal{O}_X(f^{-1}(V))$ . Then  $(f, f^{\#}) : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  is the desired morphism of ringed topological spaces.  $\Box$ 

**3.19** Let K be a number field. Let  $\mathcal{O}_K$  be the ring of integers of K. Using the finiteness theorem of the class group cl(K), show that every open subset of  $\operatorname{Spec}\mathcal{O}_K$  is principal. Deduce from this that every open subscheme of  $\operatorname{Spec}\mathcal{O}_K$  is affine.

Proof. Let U be an open subset of  $\operatorname{Spec}\mathcal{O}_K$ , then  $(\operatorname{Spec}\mathcal{O}_K)\setminus U = V(I)$  for some ideal  $I \subset \mathcal{O}_K$ . This ideal I belongs to an ideal class  $[Q] \in \operatorname{cl}(K)$ . Thus, there are  $a, b \in \mathcal{O}_K \setminus \{0\}$  such that aI = bQ. This implies that I = (b/a)Q, and there is some  $n \geq 0$  such that  $I^n = (b/a)^n Q^n = \gamma \mathcal{O}_K$  for some  $\gamma \in K$  (In particular, we can take  $n = \#\operatorname{cl}(K)$ ). Since  $I^n \subset \mathcal{O}_K$ , we have  $\gamma \mathcal{O}_K \subset \mathcal{O}_K$ . This proves that  $\gamma \in \mathcal{O}_K$ , and that  $I^n$  is a principal ideal. Hence,  $V(I) = V(I^n) = V(\gamma \mathcal{O}_K)$  is a principal closed subset, or equivalently,  $U = D(\gamma)$  is a principal open set.

Therefore, every open subscheme  $U = D(\gamma)$  of  $\operatorname{Spec}\mathcal{O}_K$  is isomorphic to  $\operatorname{Spec}\mathcal{O}_K[1/\gamma]$ , which is affine.

**4.1** Let k be a field and  $P \in k[T_1, \dots, T_n]$ . Show that  $\text{Spec}(k[T_1, \dots, T_n]/(P))$  is reduced (resp. irreducible; resp. integral) if and only if P has no square factor (resp. admits only one irreducible factor; resp. is irreducible).

*Proof.* Let  $A = k[T_1, \dots, T_n]/(P)$ , and  $\mathfrak{q} \in \operatorname{Spec} A$ . Then the following are equivalent:

(1)  $\mathcal{O}_{A,\mathfrak{q}} = A_{\mathfrak{q}}$  is reduced for all  $\mathfrak{q} \in \operatorname{Spec} A$ .

(2) Only nilpotent element in  $A_{\mathfrak{q}}$  is 0.

(3)  $\cap \{ \mathfrak{q}' \in \operatorname{Spec} k[T_1, \cdots, T_n] : (P) \subset \mathfrak{q}' \subset \mathfrak{q} \} = (P).$ 

(4) P has no square factor.

Equivalence of (1),(2), and (3) is direct from definition. We show the equivalence of (3), and (4). This follows from

$$\cap \{ \mathfrak{q}' \in \operatorname{Spec} k[T_1, \cdots, T_n] : (P) \subset \mathfrak{q}' \subset \mathfrak{q} \} = (P_0),$$

where  $P_0$  is the product of all irreducible factors of P.

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Remark that  $\operatorname{Spec} A \neq \emptyset$  is irreducible if and only if  $\sqrt{(0)}$  is prime. Equivalently,

$$\cap \{ \mathfrak{q}' \in \operatorname{Spec} k[T_1, \cdots, T_n] : (P) \subset \mathfrak{q}' \} = (P_0)$$

is prime. Similarly as before,  $P_0$  is the product of all irreducible factors of P. Now,  $(P_0)$  is prime if and only if there is only one irreducible factor in P.

A is integral if and only if A is both reduced and irreducible. Therefore, A is integral if and only if P has no square factor and admits only one irreducible factor, i.e. P is irreducible.