

## 210C HOMEWORK 1

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**Problem 1.**

(a) Since  $E \subset \mathfrak{p} \iff I \subset \mathfrak{p}$ , we have  $V(E) = V(I)$ . Since  $\mathfrak{p}$  is a prime ideal,  $x^n \in \mathfrak{p}$  for some  $n \geq 1$  if and only if  $x \in \mathfrak{p}$ , i.e.  $I \subset \mathfrak{p} \iff \sqrt{I} \subset \mathfrak{p}$ . Thus, it follows that  $V(I) = V(\sqrt{I})$ .

(b)  $V(\{0\}) = \{\mathfrak{p} \in \text{Spec}(A) \mid 0 \in \mathfrak{p}\} = \text{Spec}(A)$ , and there is no prime ideal that contains 1, so  $V(\{1\}) = \emptyset$ .

(c)  $\cup_{i \in A} E_i \subset \mathfrak{p} \iff E_i \subset \mathfrak{p}$  for all  $i \in A$ . Thus,  $V(\cup_{i \in A} E_i) = \cap_{i \in A} V(E_i)$ .

(d) The proof is just the same as (c),  $\sum_{i \in A} I_i \subset \mathfrak{p} \iff I_i \subset \mathfrak{p}$  for all  $i \in A$ . Thus,  $V(\sum_{i \in A} I_i) = \cap_{i \in A} V(I_i)$ .

(e) Note that  $V(IJ) \supset V(I \cap J) \supset V(I) \cup V(J)$ , since  $IJ \subset I \cap J$ . In fact,  $V(IJ) = V(I) \cup V(J)$  follows from the property of prime ideals, namely,  $\mathfrak{p} \supset IJ \iff \mathfrak{p} \supset I$  or  $\mathfrak{p} \supset J$ . Hence,  $V(IJ) = V(I \cap J) = V(I) \cup V(J)$ .

(f) Consider  $\mathcal{C} = \{V(I) \mid I \text{ is an ideal in } A\}$ . By (b),  $\mathcal{C}$  contains  $\text{Spec}(A)$  and  $\emptyset$ . Also,  $\mathcal{C}$  contains arbitrary intersection by (d), and finite union by (e). Hence,  $V(I)$  form the closed subsets of a topology on  $\text{Spec}(A)$ .

(g) First note that  $f^{-1}(\mathfrak{q})$  does not contain 1, otherwise  $\mathfrak{q}$  would contain 1. Then for  $a, b \in A$ ,

$$\begin{aligned} ab \in f^{-1}(\mathfrak{q}) &\iff f(ab) \in \mathfrak{q} \\ &\iff f(a)f(b) \in \mathfrak{q} \\ &\iff f(a) \in \mathfrak{q} \text{ or } f(b) \in \mathfrak{q} \\ &\iff a \in f^{-1}(\mathfrak{q}) \text{ or } b \in f^{-1}(\mathfrak{q}). \end{aligned}$$

Hence  $f^{-1}(\mathfrak{q})$  is a prime ideal in  $A$ .

(h) For a commutative ring  $A$ ,  $\text{Spec}(-): A \mapsto \text{Spec}(A)$ . For a ring homomorphism  $f : A \rightarrow B$ ,  $\text{Spec}(f): \text{Spec}(B) \rightarrow \text{Spec}(A)$  defined by  $\mathfrak{q} \mapsto f^{-1}(\mathfrak{q})$ . Let  $f : A \rightarrow B$ ,  $g : B \rightarrow C$ , be ring homomorphisms and  $\mathfrak{q} \in \text{Spec}(C)$ , then we have  $(gf)^{-1}(\mathfrak{q}) = f^{-1}(g^{-1}(\mathfrak{q}))$ . Thus,  $\text{Spec}(g \circ f) = \text{Spec}(f) \circ \text{Spec}(g)$ . It is clear that  $1_A : A \rightarrow A$  gives  $\text{Spec}(1_A) = 1_{\text{Spec}(A)}$ . Hence  $\text{Spec}(-)$  is a contravariant functor from commutative rings to topological spaces.

(i) Consider the inclusion  $i : \mathbb{Z} \rightarrow \mathbb{Q}$ ,  $(0)$  is a maximal ideal in  $\mathbb{Q}$ , since  $\mathbb{Q}$  is a field. However  $i^{-1}((0)) = (0) \subset \mathbb{Z}$  is not a maximal ideal in  $\mathbb{Z}$  since  $(0)$  is contained

in an ideal  $2\mathbb{Z}$  in  $\mathbb{Z}$ .

**Problem2.**

(a)  $\text{Spec}(A) - (D(s) \cap D(t)) = V(sA) \cup V(tA) = V(stA)$  by problem1(e). Thus,  $V(stA) = \text{Spec}(A) - D(st)$  implies the result  $D(s) \cap D(t) = D(st)$ .

(b) The statement is equivalent to  $\sqrt{(0)} = \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p}$ . Suppose first that  $a$  is nilpotent, then  $a^n \in \mathfrak{p}$  for some  $n \geq 1$  for any prime ideal  $\mathfrak{p}$ . This implies  $a \in \mathfrak{p}$ , thus  $\sqrt{(0)} \subset \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p}$ . For the other direction, suppose that  $a$  is not nilpotent. Then  $S = \{a^n | n \geq 0\}$  form a multiplicative subset of  $A$  not containing 0. We have  $S^{-1}A \neq 0$ , so  $\text{Spec}(S^{-1}A) \neq \emptyset$ . Thus there is a prime ideal in  $A$  which does not intersect  $S$ , in particular, does not contain  $a$ .

(c) If  $s$  is a unit, then clearly  $s \notin \mathfrak{p}$  for every  $\mathfrak{p} \in \text{Spec}(A)$ . Thus,  $D(s) = \text{Spec}(A)$ . Suppose that  $s$  is not a unit. Then there is a maximal ideal  $\mathfrak{m}$  in  $A$  that contains  $sA$ . Since maximal ideal is prime,  $\mathfrak{m} \notin D(s)$ , so  $D(s) \neq \text{Spec}(A)$ .

(d) We prove more general statement: Let  $I$  and  $J$  be two ideals in a ring  $A$ . Then  $V(I) \subset V(J) \iff J \subset \sqrt{I}$ .

$\Leftarrow$ )  $V(I) = V(\sqrt{I}) \subset V(J)$ .

$\Rightarrow$ )  $J \subset \bigcap_{\mathfrak{p} \supset J} \mathfrak{p} \subset \bigcap_{\mathfrak{p} \supset I} \mathfrak{p} = \sqrt{I}$ . The last equality follows from (b) applied to the ring  $A/I$ . Now apply this statement when  $I = tA$ ,  $J = sA$ . Then the result follows.

(e)  $D(s) = D(t)$  if and only if  $sA \subset \sqrt{tA}$  and  $tA \subset \sqrt{sA}$ . This is in fact equivalent to  $\sqrt{sA} = \sqrt{tA}$ .

**Problem3.**

(a) The nonzero prime ideals in  $\mathbb{Z}$  are of the form  $p\mathbb{Z}$  for some prime number  $p \in \mathbb{Z}$ . Thus,  $\text{Spec}(\mathbb{Z}) = \{(0)\} \cup \{p\mathbb{Z} | p \text{ is a prime number in } \mathbb{Z}\}$ . Every ideals in  $\mathbb{Z}$  are of the form  $n\mathbb{Z}$  for some  $n \in \mathbb{Z}$ . Hence, we have  $V(n\mathbb{Z}) = \{p\mathbb{Z} | p \text{ is a prime divisor of } n\}$  for nonzero, nonunit  $n$ , and we have  $V(\mathbb{Z}) = \emptyset$ ,  $V((0)) = \text{Spec}(\mathbb{Z})$ .

(b) Let  $P$  be a nonzero prime ideal in  $A = \mathbb{Z}[X]$ . Then the natural homomorphism  $\mathbb{Z} \rightarrow A/P$  has kernel  $P \cap \mathbb{Z}$ . This gives an embedding of  $\mathbb{Z}/(P \cap \mathbb{Z})$  into  $A/P$ . Since  $A/P$  is an integral domain, so is  $\mathbb{Z}/(P \cap \mathbb{Z})$ . Thus, we have two cases

$$P \cap \mathbb{Z} = \begin{cases} p\mathbb{Z} & \text{for some prime } p \in \mathbb{Z} \\ (0) \end{cases}$$

(Case1)  $P \cap \mathbb{Z} = p\mathbb{Z}$  for some prime  $p \in \mathbb{Z}$ :

By 3rd isomorphism theorem, we have

$$A/P \simeq (\mathbb{Z}/p\mathbb{Z}[X]) / (P/p\mathbb{Z}[X]).$$

In fact  $\mathbb{Z}/p\mathbb{Z}[X] = \mathbb{F}_p[X]$ , and the LHS is an integral domain. It follows that  $P/p\mathbb{Z}[X]$  is a prime ideal in  $\mathbb{F}_p[X]$ . Since  $\mathbb{F}_p[X]$  is UFD,  $P/p\mathbb{Z}[X] = (f(X))$  for some  $f(X) \in \mathbb{F}_p[X]$  irreducible polynomial of degree  $\geq 1$  or  $P/p\mathbb{Z}[X] = (0)$ . Hence, in this case, we obtain  $P = (p, f(X))$  or  $P = p\mathbb{Z}[X]$  where  $f$  is irreducible mod  $p$ .

(Case2)  $P \cap \mathbb{Z} = (0)$ :

Consider the ideal  $P\mathbb{Q}[X] \subset \mathbb{Q}[X]$ , this is a proper prime ideal in  $\mathbb{Q}[X]$ . So,

$P\mathbb{Q}[X] = f(X)\mathbb{Q}[X]$  where  $f$  is irreducible over  $\mathbb{Q}$ . Further, we can assume that the polynomial  $f$  is primitive. We claim that  $P = f(X)\mathbb{Z}[X]$ . Suppose  $h \in P$ ,  $h = fg$  for some  $g \in \mathbb{Q}[X]$ . Taking content(Gauss lemma) on each side, we obtain  $g \in \mathbb{Z}[X]$ . Hence it follows that  $P = f(X)\mathbb{Z}[X]$ .

Now, we can write the result as follows:

Prime ideals  $P$  in  $\mathbb{Z}[X]$  are one of the following forms:

$$(1) \quad P = \begin{cases} (0), \\ (f(X)) & \text{for } f \in \mathbb{Z}[X] \text{ irreducible and primitive,} \\ (p) & \text{for some prime } p \in \mathbb{Z}, \\ (p, f(X)) & \text{for some prime } p \in \mathbb{Z}, \text{ and } f \text{ is irreducible mod } p. \end{cases}$$

Now, we characterize the topology on  $\text{Spec}(\mathbb{Z}[X])$ . Let  $I \subset \mathbb{Z}[X]$  be a proper ideal. Consider  $I \cap \mathbb{Z} = n\mathbb{Z}$ , we have two cases,

(Case1)  $I \cap \mathbb{Z} = n\mathbb{Z}$  with  $n \neq 0, \pm 1$ :

Let  $\mathfrak{p} \in V(I)$ , i.e.  $\mathfrak{p}$  is a prime ideal containing  $I$ . Then  $\mathfrak{p} \cap \mathbb{Z} = p\mathbb{Z}$  for some prime  $p|n$ . Fix a prime  $p|n$ . The ideal  $I + p\mathbb{Z}[X] \subset \mathbb{Z}[X]$  maps to some ideal  $(f(X)) \subset \mathbb{F}_p[X]$  by reducing mod  $p$ , since  $\mathbb{F}_p[X]$  is a PID. Let  $f_i$  be distinct irreducible factors of  $f$  in  $\mathbb{F}_p[X]$  if  $\deg(f) > 0$ , and enumeration of all irreducible polynomials of  $\mathbb{F}_p[X]$  with 0 if  $f = 0$ . Thus, we have  $(f(X)) \subset (f_i(X)) \subset \mathbb{F}_p[X]$  for each  $i$ . Pulling back these ideals to  $\mathbb{Z}[X]$ , we obtain  $I \subset I + p\mathbb{Z}[X] \subset (p, f_i(X)) \subset \mathbb{Z}[X]$  for each  $i$ . Hence, the result

$$V(I) = \{(p, f_{p,i}) \mid p|n, (I + p\mathbb{Z}[X])/(p\mathbb{Z}[X]) = (f_p(X)) \subset \mathbb{F}_p[X], \deg(f_p) > 0, f_{p,i} \text{ are distinct irreducible factor of } f \text{ in } \mathbb{F}_p[X]\}$$

$$\bigcup \{(p, f_{p,i}) \mid p|n, (I + p\mathbb{Z}[X])/(p\mathbb{Z}[X]) = (0) \subset \mathbb{F}_p[X], f_{p,i} \text{ are enumeration of all irreducible polynomials of } \mathbb{F}_p[X] \text{ with } 0\}.$$

(Case2)  $I \cap \mathbb{Z} = (0)$ :

Consider  $I\mathbb{Q}[X] = (f(X)) \subsetneq \mathbb{Q}[X]$  with  $f$  being primitive. Then we obtain  $I = f(X)\mathbb{Z}[X]$  by Gauss lemma. Let  $f_i$  be distinct irreducible factors of  $f$ , and  $\tau_i \in \mathbb{C}$  be the corresponding roots of  $f_i$ . For each  $i$ , we need to find primes  $p$  such that  $(p, f_i)$  become proper. To do this, we use Gauss lemma again so that we obtain the result:

$$(p, f_i) \text{ is proper} \iff \frac{1}{p} \notin \mathbb{Z}[\tau_i].$$

Hence, we have,

$$V(I) = \{(p, f_{i,j}) \mid f_i \nmid f, \frac{1}{p} \notin \mathbb{Z}[\tau_i], ((f_i) + p\mathbb{Z}[X])/(p\mathbb{Z}[X]) = (f_i(X) \bmod p) \subset \mathbb{F}_p[X], \deg(f_i \bmod p) > 0, f_{i,j} \text{ are distinct irreducible factor of } f_i \text{ in } \mathbb{F}_p[X]\} \\ \bigcup \{(f_i) \mid f_i \nmid f \text{ irreducible}\}.$$

#### Problem4.

(a) We have a canonical isomorphism  $0 = M \otimes_R (R/I) \simeq M/IM$ . From this we obtain  $M = IM$ . By Nakayama lemma, there exists  $a \in I$  such that  $(1 - a)M = 0$ .

Suppose  $1 - a$  is not unit, then it is contained in some maximal ideal  $\mathfrak{m} \subset R$ . However,  $a \in I \subset \text{Rad}(R) \subset \mathfrak{m}$ . So, it follows  $(1 - a) + a = 1 \in \mathfrak{m}$ , which is impossible. Hence,  $1 - a$  is a unit, and  $(1 - a)M = M = 0$ .

(b) We have  $M/N = (IM + N)/N = I \cdot (M/N)$ . Since  $I \subset \text{Rad}(R)$ , we can apply (a) to obtain  $M/N = 0$ . Hence,  $M = N$ .

(c) Since  $k \otimes_R M = (R/\mathfrak{m}) \otimes_R M \simeq M/(\mathfrak{m}M) = 0$ , we see that  $M = \mathfrak{m}M$ . We can apply (a) with  $I = \mathfrak{m}$ , and  $\text{Rad}(R) = \mathfrak{m}$ , since  $\mathfrak{m}$  is the unique maximal ideal in  $R$ . Hence, we obtain by (a) that  $M = 0$ .

(d) Let  $N = Rx_1 + \cdots + Rx_m$  be a  $R$ -submodule of  $M$ . We have  $(\mathfrak{m}M + N)/(\mathfrak{m}M) \supset (R/\mathfrak{m})\overline{x_i}$  for all  $i = 1, \dots, m$ . Thus, it follows that

$$M/\mathfrak{m}M \supset (\mathfrak{m}M + N)/(\mathfrak{m}M) \supset (R/\mathfrak{m})\overline{x_1} + \cdots + (R/\mathfrak{m})\overline{x_m} = M/\mathfrak{m}M.$$

This implies  $M = \mathfrak{m}M + N$ . Since  $\text{Rad}(R) = \mathfrak{m}$ , we can apply (b) to deduce that  $M = N$ .